

# Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year) <b>02/05/2007</b>	Reporting Status (Check Appropriate Boxes) <input type="checkbox"/> Incumbent <input checked="" type="checkbox"/> New Entrant, Nominee, or Candidate	Calendar Year Covered by Report <input type="checkbox"/>	Termination Filer <input type="checkbox"/>	Termination Date (If Applicable) (Month, Day, Year) <input type="checkbox"/>	<b>Fee for Late Filing</b> Any individual who is required to file this report and who so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.
Reporting Individual's Name Last Name: <b>Giuliani</b> First Name and Middle Initial: <b>Rudolph W</b>		Position for Which Filing Title of Position: <b>Candidate for President of the United States</b> Department or Agency (If Applicable):			
Location of Present Office (or forwarding address) <b>5 Times Square, New York, NY 10036</b>		Telephone No. (Include Area Code) <b>(212) 931-7301</b>			<b>Reporting Periods</b> Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above) <b>None</b>		Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.			
Presidential Nominees Subject to Senate Confirmation		Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input type="checkbox"/> No			<b>Nominees, New Entrants and Candidates for President and Vice President:</b>  Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.  Schedule B--Not applicable.
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.		Signature of Reporting Individual: <i>Rudolph W. Giuliani</i> Date (Month, Day, Year): <b>May 14, 2007</b>			
Other Review (If desired by agency)		Signature of Other Reviewer: _____      Date (Month, Day, Year): _____			Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.  Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).		Signature of Designated Agency Ethics Official/Reviewing Official: _____      Date (Month, Day, Year): _____			
Office of Government Ethics Use Only		Signature: _____      Date (Month, Day, Year): _____			Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)		(Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>  <div style="text-align: center;">                     RECEIVED                      FEDERAL ELECTION COMMISSION                      OFFICE OF GENERAL COUNSEL                      2007 MAY 15 P 4:49                 </div> (Check box if comments are continued on the reverse side) <input type="checkbox"/>			
		Agency Use Only			
		OGE Use Only			



Reporting Individual's Name Giuliani, Rudolph W	<h2 style="margin: 0;">SCHEDULE A continued</h2> <p style="margin: 0;">(Use only if needed)</p>	Page Number 3 of 18
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Assets and Income	Valuation of Assets at close of reporting period												Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																			
BLOCK A	BLOCK B												BLOCK C																			
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Date (Mo., Day, Yr.) Only if Honoraria					
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)
1 Bessemer Trust:																																
2 Bessemer Sweep Program (J) (6)	X																	X														
3 U.S. Treasury Note - 5/31/07 (J)					X												X															
4 Old Westbury Municipal Bond Fund (J)								X				X								X												
5 Old Westbury Large Cap Equity (J)								X				X							X													
6 Old Westbury Mid Cap Equity Fund (J)						X						X							X													
7 Old Westbury Global Small Cap Fund (J)				X								X						X														
8 Old Westbury International Fund (J)						X						X							X													
9 Old Westbury Real Return Fund (J)					X							X						X														

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

**SCHEDULE A continued**  
 (Use only if needed)

Assets and Income  BLOCK A		Valuation of Assets at close of reporting period  BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.  BLOCK C																						
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type	Amount										Date (Mo., Day, Yr.)  Only if Honoraria							
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)			
1	Bessemer Sweep Program IRA (6)		X															X					X												
2	Federal Home Loan Bank IRA					X												X			X														
3	U.S. Treasury Note IRA - 5/31/07		X															X			X														
4	Old Westbury Fixed Inc. Fund IRA				X								X								X														
5	Old Westbury Large Cap Equity IRA			X									X								X														
6	Old Westbury Mid Cap Equity Fund IRA		X										X								X														
7	Old Westbury Global Small Cap Fund IRA	X											X								X														
8	Old Westbury International IRA		X										X								X														
9	Old Westbury Real Return Fund IRA	X											X								X														

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**SCHEDULE A continued**  
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Assets and Income  BLOCK A		Valuation of Assets at close of reporting period  BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.  BLOCK C																				
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.)  Only if Honoraria
1	Bessemer Sweep Program (6) (7)	X																X						X									
2	Federal Home Loan Bk Short Term Note (7)				X													X		X													
3	Federal Home Loan Bank Short Term Note (7)					X												X		X													
4	U.S. Treasury Note - 5/31/07 (7)			X														X		X													
5	Old Westbury Fixed Inc. Fund (7)					X								X						X													
6	Old Westbury Large Cap Equity Fund (7)				X									X						X													
7	Old Westbury Mid Cap Equity Fund (7)		X											X						X													
8	Old Westbury G.L. Small Cap Fund (7)	X												X						X													
9	Old Westbury International Fund (7)			X										X						X													

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Reporting Individual's Name	<b>SCHEDULE A continued</b> (Use only if needed)	Page Number  6 of 18
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Assets and Income  BLOCK A	Valuation of Assets at close of reporting period  BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.  BLOCK C																							
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Date (Mo., Day, Yr.)  Only if Honoraria								
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)			
1			X										X							X															
2			X																	X															
3		X																	X																
4		X																	X																
5		X																		X															
6				X																	X														
7						X								X																					
8		X																	X																
9	X																		X																

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Assets and Income  BLOCK A		Valuation of Assets at close of reporting period  BLOCK B											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.  BLOCK C																							
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Date (Mo., Day, Yr.)  Only if Honoraria								
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)			
1	Old Westbury Mid-Cap Equity Fund (S)				X									X							X															
2	Old Westbury Large Cap Equity Fund (S)					X								X							X															
3	Old Westbury G.L. Small Cap Fund (S)		X											X							X															
4	Old Westbury International Fund (S)				X									X							X															
5	Old Westbury Real Return Fund (S)			X										X							X															
6	Bessemer Sweep Program (S) (6)			X														X			X															
7	Federal Home Loan Bank Short Term Note (S)					X												X			X															
8	U.S. Treasury Note - 5/31/07 (S)				X													X			X															
9	Old Westbury Municipal Bond Fund (S)					X								X							X															

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		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount														
Dividends	Rent and Royalties																Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)					
1	Old Westbury Large Cap Equity Fund (S)				X								X						X																
2	Old Westbury Mid Cap Equity Fund (S)				X								X							X															
3	Old Westbury G.L. Small Cap Fund (S)		X										X							X															
4	Old Westbury International Fund (S)				X								X							X															
5	Old Westbury Real Return Fund (S)			X									X							X															
6	Merrill Lynch (8):																																		
7	ML Bank Deposit (S) (6)	X																		X															
8	Bristol-Meyers Squibb (S)	X																X					X												
9	Zimmer Holdings, Inc. (S)	X																X				X													

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Assets and Income		Valuation of Assets at close of reporting period											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																								
BLOCK A		BLOCK B											BLOCK C																								
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Date (Mo., Day, Yr.)  Only if Honoraria									
																	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)				
1	Money Markets IRA (S) (6)	X															X																				
2	Bristol-Meyers Squibb IRA (S)	X																X																			
3	Zimmer Holdings, Inc. IRA (S)	X																	X																		
4	Prudential Financial Stock		X																	X																	
5	Changing Our World, Inc. (S) (9) New York, NY																																		Salary		
6	Rudolph W. Giuliani (S) New York, NY																																		Salary		
7	Schwab (S) (10) Defined Benefit Plan				X																																
8	JP Morgan Institutional Tax Free Money Management (11)									X																										X	
9	JP Morgan Private Bank Checking Account (6)				X																																

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Reporting Individual's Name  
 Giuliani, Rudolph W

**SCHEDULE A continued**  
 (Use only if needed)

Page Number  
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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																							
		BLOCK B										BLOCK C																							
BLOCK A		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount						Date (Mo., Day, Yr.) Only if Honoraria								
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)			
1	Prudential Financial Money Market (6) (12)			X										X								X													
2	Vanguard Group Growth and Income (12)			X										X							X														
3	Vanguard Group Total Stock Market (12)		X											X							X														
4	Merrill Lynch - Blackrock Balanced Cap Fund (13)		X											X							X														
5	Merrill Lynch - Blackrock National Municipal Bond Fund (13)		X											X							X														
6	Merrill Lynch Money Market Account (6) (13)		X											X							X														
7	Citibank - Checking (6)						X																X												
8	Citibank - Money Management (6)						X																		X										
9	Citibank - Money Management (6)								X																			X							

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**SCHEDULE A continued**  
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Reporting Individual's Name  
 Giuliani, Rudolph W

BLOCK A	BLOCK B										BLOCK C										Date (Mo., Day, Yr.)  Only if Honoraria														
	Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																								
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount															
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)				
1	Citibank - Money Management (6)								X									X									X								
2	Citibank - Money Management (6)						X											X							X										
3	Citibank - Checking (S) (6)	X																X		X															
4	Citibank - Money Management (S) (6)	X																X							X										
5	Citibank - Checking (J) (6)			X														X		X															
6	Citibank - Checking (J) (6)							X										X					X												
7	Omnicom (S) (14)			X												X					X														
8	Mutual of America Mid-Term Bond Fund (S) (15)			X												X							X												
9	Personal Loan to Kenneth Caruso New York, NY					X												X		X															

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SCHEDULE A continued  
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Reporting Individual's Name  
Giuliani, Rudolph W

BLOCK A	Valuation of Assets at close of reporting period											BLOCK B								BLOCK C														Date (Mo., Day, Yr.) Only if Honoraria	
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	Type	Amount																																	
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1	Merrill Lynch IRA:																																		
2	ML Bank USA RASP (6)	X													X				X																
3	Retirement Reserves CI I (6)	X													X				X																
4	AIM Charter Fund Class B			X								X							X																
5	Alliancebernstein LG Cap Growth Fd CI B		X									X							X																
6	Alliancebernstein Growth and Income Fd CI B			X								X							X																
7	Leeds Equity Associates IV LLC (17)																																Stipend: \$150,000		
8	Connecticut General Life Insurance Company Universal Life Policy (18)		X																X																
9	Connecticut General Life Insurance Company Universal Life Policy (19)		X																X																

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Reporting Individual's Name Giuliani, Rudolph W	<b>SCHEDULE A continued</b> (Use only if needed)	Page Number 14 of 18
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Assets and Income	Valuation of Assets at close of reporting period											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																							
BLOCK A	BLOCK B											BLOCK C																							
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type				Amount							Date (Mo., Day, Yr.)  Only if Honoraria								
																Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000		\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)			
1	X																X																		
2																																			
3																																			
4																																			
5																																			
6																																			
7																																			
8																																			
9																																			

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name Giuliani, Rudolph W	SCHEDULE C	Page Number 15 of 18
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**Part I: Liabilities**

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

Examples	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)															
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000					
	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.																
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10%	on demand					x											
1																					
2																					
3																					
4																					
5																					

\*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

**Part II: Agreements or Arrangements**

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

Example	Status and Terms of any Agreement or Arrangement	Parties	Date
	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	Fidelity - Giuliani Partners 401K Freedom 2010 Blended Fund (21)	Giuliani Partners, New York, NY	01/02
2	Pursuant to book contract, filer will continue to receive royalties on book sales. (4)	Disney Worldwide Services, Hyperion/Miramax Books, New York	7/02
3	Partnership Agreement continues to exist (1) (22)	Giuliani & Company, LLC, New York, NY	1/02
4	Partnership Agreement continues to exist (2) (23)	Bracewell & Giuliani, Austin, TX	4/05
5	457 Deferred Compensation Socially Responsible Fund (no contributions allowed post-12/01)	City of New York Deferred Compensation Plan, New York, NY	9/94
6	Pursuant to standard theatrical contract, royalties will be paid for repeat showings of performances. (Various dates)	SPE Corporation, Culver City, CA (Paying Agent)	

Reporting Individual's Name	SCHEDULE C	Page Number 16 of 18
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<b>Part I: Liabilities</b>		Category of Amount or Value (x)													
Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude		a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.				None <input checked="" type="checkbox"/>									
Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Examples First District Bank, Washington, DC John Jones, 123 JSt., Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10%	25 yrs. on demand			x					x			
1															
2															
3															
4															
5															

\*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

<b>Part II: Agreements or Arrangements</b>		Parties	
Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves		of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.	
Status and Terms of any Agreement or Arrangement		Parties	Date
Example Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.		Doe Jones & Smith, Hometown, State	7/85
1 Chairman of the Board (17)		Leeds Equity Fund IV, Board of Advisors, New York, NY	06/02
2 Entitlement to Health Insurance Coverage from City of New York (24)		City of New York	5/06
3			
4			
5			
6			



Reporting Individual's Name Giuliani, Rudolph W	<b>SCHEDULE D</b>	Page Number 17 of 18
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**Part I: Positions Held Outside U.S. Government**

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature. None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Giuliani & Company LLC, New York, NY (1) (25)	Holding Company	Chairman	01/2002	Present
2	Giuliani Partners LLC, New York, NY (1) (25)	Consulting Firm	Chairman and CEO	01/2002	Present
3	Bracewell & Giuliani LLP, New York, NY	Law Firm	Partner	04/2005	Present
4	Leeds Equity Fund IV, Board of Advisors, New York, NY	Investment Firm Advisory Board	Chairman (17)	06/2002	Present
5	Ronald Reagan Presidential Library Foundation, Simi Valley, CA (on leave)	Not-for-profit Presidential Library	Board Member	04/2003	04/2007
6	Rudolph W. Giuliani Center For Urban Leadership, New York, NY	Non-profit Library/Education	Board Member	01/2002	Present

**Part II: Compensation in Excess of \$5,000 Paid by One Source**

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. None

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
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2		
3		
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5		
6		

Reporting Individual's Name Giuliani, Rudolph W	<b>SCHEDULE D</b>	Page Number 18 of 18
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**Part I: Positions Held Outside U.S. Government**

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature. None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	America's Camp Foundation Board of Advisors, New York, NY	Non-profit camp	Chairman	05/2003	Present
2	Giuliani Group LLC, New York, NY (1) (25)	Holding Company	Chairman	01/2002	Present
3					
4					
5					
6					

**Part II: Compensation in Excess of \$5,000 Paid by One Source**

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. None

	Source (Name and Address)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		

Notes – Rudolph W. Giuliani Form SF 278

1) Giuliani & Company LLC  
New York, NY

The filer is a thirty percent equity partner who received a guaranteed payment pursuant to his partnership agreement of \$994,169 and a net distributive share of partnership income of \$2,453,633 during the reporting period. Included in the reported amount is \$600,000 in accrued guaranteed payments for 2007 and a distributive share of interest earned in the partnership of \$62,044 for 2006, which amounts have not been paid yet.

Giuliani & Company LLC has ownership interests in the following companies, whose underlying values are not readily ascertainable:

Giuliani Group LLC  
New York, NY

Giuliani Group is an intermediary holding company that performs no direct services.

Giuliani Partners LLC  
New York, NY

Giuliani Partners is a management consulting firm that provides strategic advisory services to clients.

Giuliani Capital Advisors LLC  
New York, NY

GCA is a broker-dealer that no longer provides services following a sale of assets to Macquarie Bank.

Giuliani Security & Safety LLC  
New York, NY

GSS is a consulting company that provides security and safety consulting services.

K.K. Giuliani Security & Safety Asia  
Tokyo, Japan

GSSA is a consulting company that provides security and safety consulting services.

K.K. Giuliani Compliance Japan  
Tokyo, Japan

GCJ is a consulting company that provides corporate governance consulting services.

Lenore Partners LLC  
New York, NY

Lenore Partners is a holding company for an investment in Skywatch LLC, a technology company.

BioONE Solutions, LLC  
Albany, NY

BioOne is a technology services company providing decontamination services to clients.

BSMB/Camelbak LLC  
New York, NY

BSMB/Camelbak is a holding company that owns a majority interest in Camelbak Group, LLC, which manufactures personal, portable hydration systems.

The Nucleus Group LLC  
New York, NY

The Nucleus Group is a consulting company that provides strategic consulting services to clients.

Leeds Equity Associates IV LLC  
New York, NY

LEAIV is the General Partner of Leeds Equity Partners Fund IV L.P. ("LEPFIV"), a private equity fund that makes investments in knowledge and education industry-related companies.

2) Bracewell and Giuliani LLP  
New York, NY

The filer is an income partner who receives an annual salary and a seven and one-half percent share of the revenue of the New York office of the firm, which seven and one-half percent share has not yet been calculated or distributed for the reporting period. The law firm represents clients in legal matters and has a lobbying component in some offices but not in the New York office.

3) Washington Speakers Bureau  
Alexandria, VA

The Washington Speakers Bureau serves as an agent for the booking of speeches and lectures. Annex A contains a complete list of all appearances by the filer booked through the Washington Speakers Bureau, including the date of each speaking engagement, the identity of the entity contracting for each appearance and the gross and net revenue for each appearance. The filer is not currently accepting any paid speaking engagements.